



# **FOREIGN INVESTMENT SURVEY 2023 QUESTIONNAIRE**

**Measuring Cross Border Private Capital To Inform Decision  
Making**

**November, 2023 - February 2024**

**Acknowledgement of receipt of the questionnaire**

I, .....of .....  
(Name of recipient) (Name of enterprise)

acknowledge receipt of the Foreign Investment Survey 2023 questionnaire.

Designation:	
Telephone Number:	
Mobile Number:	
Signature and Stamp:	
Date:	
Date for collection of questionnaire and financial statements:	
GPS Coordinates:	
Interviewer's contacts:	Name:
	Mobile number:

**Thank you for receiving and accepting to fill the questionnaire**

## **BACKGROUND**

### **Purpose of the Survey**

The purpose of this survey is to collect information from resident enterprises on cross-border private capital for the calendar years 2020, 2021 and 2022. The data collected will be used in compiling Balance of Payments and International Investment Position statistics; and other related statistics for planning, policy formulation, monitoring and evaluation.

### **2 Collaborating Institutions**

Kenya National Bureau of Statistics (KNBS), Central Bank of Kenya (CBK) and Kenya Investment Authority (KenInvest) are jointly conducting the 2023 Foreign Investment Survey.

### **3 Respondent**

The respondents are the Chief Executives, Finance Managers, Accountants or any other person who is familiar with the enterprises' financial statements.

### **4 Due Date**

Kindly complete the questionnaire within 7 days upon receipt. Our staff will collect the filled questionnaire on the due date or earlier as agreed. Retain the duplicate copy of the questionnaire for your own records and reference.

### **5 Confidentiality**

Information provided in the questionnaire by individual enterprises will be treated with **utmost confidentiality** and used **only** for statistical purposes. The data/ information collected will only be published in aggregate form. The staff involved are under oath not to disclose any information to a third party. Confidentiality is guaranteed under the Statistics Act, 2006. Reports of previous cycles of the Foreign Investment Survey can be accessed on the KNBS Website via the link <https://www.knbs.or.ke/publications/>.

### **6 Legal Mandate**

These statistics are collected under the Statistics Act 2006 which empowers KNBS to collect and compile Kenya's Balance of Payments Statistics and International Investment Position (external assets and liabilities), among other information/data.

### **7 Non-Compliance**

Failure to comply with the Statistics Act, 2006 constitutes an offence and may result in legal and administrative action.

### **8 Queries**

Queries or assistance regarding the completion of this questionnaire will be addressed by the interviewer. For more information please contact:

**Director, Macroeconomic Statistics**  
**Kenya National Bureau of Statistics**  
**Real Towers, Upper Hill, Hospital Road, 10<sup>th</sup> Floor**  
**Tel. No.: 020-2911000/1**  
**[Email Address:directormacro@knbs.or.ke](mailto:directormacro@knbs.or.ke)**

## A. DEFINITIONS AND CONCEPTS

### 1 Institutional unit

Institutional unit is an economic entity that is capable, in its own right, of owning assets, incurring liabilities and engaging in economic activities and in transactions with other entities.

### 2 Enterprise

An enterprise is an institutional unit engaged in production of goods and/or services.

### 3 Residency

**Residents** are individuals, enterprises or any other organizations that have lived or operated in Kenya for one year or more, regardless of nationality and having centre of predominant economic interest in Kenya.

**Non-Residents** include:

- (1) Individuals or enterprises that have lived or operated abroad (outside Kenya) for one year or more, regardless of nationality;
- (2) Foreign Governments' embassies and bilateral development organizations e.g. USAID, JICA
- (3) International organizations with shareholders who are governments of more than one country e.g. IMF, World Bank, African Development Bank, International Finance Corporation.

### 4 Investment Relationship

**4.1 Direct Investor (DI):** is an enterprise, or group of related enterprises, that controls or have a significant degree of influence over another enterprise which is a resident in a different economy. Control exists if the direct investor owns more than 50% of the voting power in the direct investment enterprise. A significant degree of influence is said to exist if the direct investor owns from 10% to 50% of the voting power in the direct investment enterprise.

**4.2 Direct Investment:** is a category of cross-border investment made by a resident in one economy (the direct investor) with the objective of establishing a lasting interest in an enterprise (the direct investment enterprise) that is resident in an economy other than that of the direct investor. The control or influence may be direct (through ownership of voting power) or indirect (through ownership of enterprises that in turn have voting power).

**4.3 Direct Investment Enterprise (DIE):** For inward investment, a DIE is a resident enterprise in which a non-resident Direct Investor owns 10% or more of the total equity of the resident Direct Investment Enterprise. For outward investment, a DIE is a non-resident enterprise in which a resident Direct Investor owns 10% or more of the total equity of the non-resident Direct Investment Enterprise. DIE comprise subsidiaries (a non-resident investor owns more than 50%), associates (an investor owns 10% to 50%) and branches (wholly or jointly owned by the DI).

**4.4 Reverse Investment:** Reverse investment arises when a DIE lends funds to or acquires equity in its immediate (direct) or indirect investor provided that it does not own equity comprising 10% or more of voting power in that Direct investor. In contrast, if two enterprises each have 10% or more of voting power in the other, this is not reverse investment, rather there exist two mutual direct investment relationship. That is, each enterprise is a direct investor and direct investment enterprise of the other.

For inward investment, reverse investment arises when a non-resident DIE has claims on resident DI in the form of equity that is less than 10% of total equity or claims on the DI in the form of debt instruments. For outward investment, reverse investment arises when a resident DIE has claims on its non-resident DI in the form of equity that is less than 10% of total equity of the DI or claims on the DI in the form of debt instruments.

**4.5 Fellow Enterprises (FE):** Are enterprises that are under the control or influence of the same immediate or indirect investor but neither FE controls or influences other FE. A Direct investment relationship between FE exists because both enterprises are owned by the same DI (Parent) but neither owns 10% or more voting power in the other.

For inward investment, these are non-resident enterprises who have less than 10% of the enterprise's equity and/or claims in the form of debt instruments but have the same immediate or indirect DI. For outward investment, these are resident enterprises that own less than 10% of equity and/or claims in the form of debt instruments in a non-resident enterprise that is owned by the same immediate or indirect DI.

**4.6 Portfolio Investment (PI):** Entails ownership of shares/voting rights of less than 10% of the total equity in a resident or non-resident enterprise. PI is divided into equity securities and debt (non-equity) securities. Financial instruments under Portfolio investment are tradable.

**4.7 Investment Fund Shares (IFS):** These are collective investment undertakings through which investors pool funds for investment in financial or non financial assets or both. Examples of the IFS are mutual funds; money market funds; investment trusts; and unit trusts.

## **5 Reporting Period**

The questionnaire seeks information on balances with non-residents as at end of December 2019, 2020, 2021 and 2022; and transactions with non-residents for the years 2020, 2021 and 2022. If you are unable to provide the required information on calendar year basis, please provide the information on the basis of your accounting year as required in question 1.9.

## **6 Annual Reports and Financial Statements**

Kindly provide copies of your Annual Reports and Financial Statements for the years 2020, 2021 and 2022 together with the completed questionnaire.

## **7 Valuation Principles**

### **7.1 Currency**

Please provide all data in **Kenya Shillings**. If the currency of any of your enterprise's foreign assets and liabilities is not in Kenya Shillings, please convert to Kenya Shillings using the appropriate exchange rates at the time of the transaction.

### **7.2 Shareholders' Funds**

Please report on book value basis.

### **7.3 Loans, Trade Credit and advances (assets and liabilities)**

Please report on nominal value basis or book value.

### **7.4 Debt and equity Securities Issued**

Report the market value of the securities issued at the balance sheet date for positions. If market value is not available, report book or redemption values. For transactions, report amounts raised at issue or redemptions as appropriate.

### **7.5 Debt and equity securities held**

Please report the market value of the securities held at the balance sheet date for positions and the actual purchase / sale price for transactions.

## **8 Other comments**

In case you have any comments or information related to the data provided, please insert them in the comment box found on the last page.

## SECTION 1. GENERAL INFORMATION ON THE ENTERPRISE

**1.1: Name of Enterprise:** .....

**1.2: Physical Address:**

Building ..... Street .....

Town ..... County .....

**1.3: Postal Address:** ..... **Postal Code** .....

**1.4: Tel. No.** ..... **Mobile No** .....

**1.5: Email Address:** .....

**1.6: Website of Enterprise:** .....

**1.7: Name of person completing the questionnaire:** .....

Designation: ..... Email Address: .....

Tel No.: ..... Mobile No.: .....

**1.8: When did your enterprise start operations in Kenya?**

Month..... Year.....

**1.9: What is your enterprise's annual financial reporting period?**

From ..... to .....

**1.10: Is your enterprise part of a group of other enterprises operating within Kenya?**

Yes  ***If Yes go to Q 1.11***

No  ***If No go to Q 1.14***

**1.11: What is the name of the Group?**.....

**1.12: List the names of enterprises in the Group operating in Kenya**

1 ..... 5 .....

2 ..... 6 .....

3 ..... 7 .....

4 ..... 8 .....

**1.13: Which data are you providing?: (Tick one )**

1 For this enterprise only .....

2 Consolidated for the enterprises listed in 1.12 above.....

3 Unconsolidated for each enterprise listed in 1.12 above .....

**1.14: List the goods produced and services offered by your enterprise and their percentage share contribution to the total turnover**

Goods/ Services	Percentage share contribution to total turnover		
	2020	2021	2022
1.			
2.			
3.			
4.			
5.			
6.			

**1.15: a. What economic activities does your enterprise engage in, based on the 2022 enterprise's turnover?**

Kenya Standard Industrial Classification (KeSIC)	Tick Sector	Percentage contribution to 2022 enterprise's turnover
<b>A. Agriculture, forestry and fishing</b>		
01. Crop and animal production, hunting and related activities services		
02. Forestry and logging		
03. Fishing and aquaculture		
<b>B. Mining and quarrying</b>		
05. Mining of coal and lignite		
06. Extraction of crude petroleum and natural gas		
07. Mining of metal ores		
08. Other mining and quarrying		
09. Mining support service activities		
<b>C. Manufacturing</b>		
10. Manufacturing of food products		
11. Manufacture of beverages		
12. Manufacture of tobacco products		
13. Manufacture of textiles		
14. Manufacture of wearing apparel		
15. Manufacture of leather and related products		
16. Manufacture of wood and of products of wood and cork		
17. Manufacture of paper and paper products		
18. Printing and reproduction of recorded media		
19. Manufacture of coke and refined petroleum products		
20. Manufacture of chemical and chemical products		
21. Manufacture of pharmaceuticals, medicinal chemical and botanical products		
22. Manufacture of rubber and plastic products		
23. Manufacture of other non-metallic mineral products		
24. Manufacture of basic metals		
25. Manufacture of fabricated metal products, except machinery		
26. Manufacture of computer, electronic and optical products		
27. Manufacture of electrical equipment		
28. Manufacture of machinery and equipment		
29. Manufacture of motor vehicles, trailers and trailers		
30. Manufacture of other transport equipment		
31. Manufacture of furniture		
32. Other manufacturing		
33. Repairs and installation of machinery and equipment		



<b>D.</b>	<b>Electricity, gas and air conditioning supply</b>		
	35. Electricity, gas and air conditioning supply		
<b>E.</b>	<b>Water supply; sewerage, waste management and remediation activities</b>		
	36. Water collection, treatment and supply		
	37. Sewerage		
	38. Waste collection, treatment and disposal activities		
	39. Remediation activities and other waste management services		
<b>F.</b>	<b>Construction</b>		
	41. Construction of buildings		
	42. Civil engineering		
	43. Specialized construction activities Construction		
<b>G.</b>	<b>Wholesale &amp; retail trade, repair of motor vehicles and motorcycles services</b>		
	45. Trade and repair of motor vehicles and motorcycles		
	46. Whole sale trade		
	47. Retail trade		
<b>H.</b>	<b>Transportation and Storage</b>		
	49. Land transport and transport via pipelines		
	50. Water transport		
	51. Air transport		
	52. Warehousing and support activities for transportation		
	53. Postal and courier activities		
<b>I.</b>	<b>Accommodation and food service activities services</b>		
	55. Accommodation		
	56. Food and beverage service activities		
<b>J.</b>	<b>Information and communication</b>		
	58. Publishing activities		
	59. Motion picture, videos and television programme production, sound recording and music publishing activities		
	60. Programming and broadcasting activities		
	61. Telecommunications		
	62. Computer programming, consultancy and related activities		
	63. Information service activities		
<b>K.</b>	<b>Finance and Insurance activities</b>		
	64. Financial service activities, except insurance and pension funding		
	65. Insurance, reinsurance and pension funding, except compulsory social security		
	66. Activities auxiliary to financial service and insurance activities		

<b>L.</b>	<b>Real estate activities</b>		
	68. Real estate activities		
<b>M.</b>	<b>Professional, scientific and technical activities</b>		
	69. Legal and accounting activities		
	70. Activities of head office; management consultancy activities		
	71. Architectural and engineering activities; technical testing and analysis		
	72. Science research and development		
	73. Advertising and market research		
	74. Other professional, scientific and technical activities		
	75. Veterinary activities		
<b>N.</b>	<b>Administrative and support service activities</b>		
	77. Rental and leasing activities		
	78. Employment activities		
	79. Travel agency and tour operator, reservation service and related activities		
	80. Security and investigation activities		
	81. Services related to building and landscape activities		
	82. office administrative, office support and other business support activities		
<b>P.</b>	<b>Education</b>		
	85. Education		
<b>Q.</b>	<b>Human Health and Social work activities</b>		
	86. Human health activities		
	87. Residential care activities		
	88. Social work activities without accommodation		
<b>R.</b>	<b>Arts, entertainment and recreation</b>		
	90. Creative, art and entertainment activities		
	91. Libraries, archives, museum and other cultural activities		
	92. Gambling and betting activities		
	93. Sports, amusement and recreation activities		
<b>S.</b>	<b>Other service activities</b>		
	94. Activities of membership organizations		
	95. Repair of computers and personal and household goods		
	96. Other personal service activities		

**1.15:b. Provide a description of the principal/main activity**

.....  
 .....

**KeSIC CODE(4Digit)**

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**For Official Use**

**1.16: Shareholding Structure of Equity in Your Enterprise.**

Name of Shareholder	Shareholder's Country of Residence	% Shareholding		
		As at 31 <sup>st</sup> December 2020	As at 31 <sup>st</sup> December 2021	As at 31 <sup>st</sup> December 2022
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
<b>Total</b>		<b>100</b>	<b>100</b>	<b>100</b>

Note: In case your enterprise had more than 12 shareholders or had any changes that related to shareholding and has not been captured in the Table 1.16, please provide the information on a separate sheet to be provided by the interviewer

**1.17: Provide the following information for 2020, 2021 and 2022 (in ABSOLUTE Kenya Shilling )**

Item	2020	2021	2022
1.Total Turnover			
2.Total Assets			
3.Total Equity			
4.Total Import of goods			
5.Total Export of goods			
6.Merchanting*	Purchase		
	Resale		

**\*Merchanting** refers to the purchase of goods by your enterprise from other countries and subsequent resale of the same goods to an entity in another country without the goods physically being present in Kenya.

**1.18: Net Profits/Loss, Dividends and Retained Earnings during 2020, 2021 and 2022 (in ABSOLUTE Kenya Shilling )**

Item	2020	2021	2022
1. Net profit/loss (after tax) for the year			
2. Total dividends declared for the year			
3. Total dividends paid / profits remitted during the year			
4. Total retained earnings for the year (1 – 2)			

**SECTION 2. ENTERPRISE EQUITY LIABILITIES**

**2.1: Please complete this PART with information on the TOTAL VALUE (BOOK VALUE) of Equity in your enterprise in Kenya during years ended 2020, 2021 and 2022. Report all values in ABSOLUTE Kenya Shilling, i.e KSh 2,578,215 and not KSh 2.6 million.**

		Share Capital	Share Premium	Retained Earnings	Proposed dividends	Revaluation reserves	Other Reserves* (other than revaluation reserves)	Other**	Total
	<b>Position as at 1<sup>st</sup> January 2020</b>								
Changes in 2020	Changes in accounting policy								
	Other adjustments on Equity								
	Shares issued								
	Shares acquired								
	Profit/(loss) for the year								
	Other Comprehensive income for the year								
	Dividends paid								
	Dividends proposed								
	Revaluations gains/losses								
	Fair value gain/loss on reserves								
Transfer of excess depreciation									
Deffered income tax on transfer									
	<b>Position as at 31<sup>st</sup> December 2020 (1st January 2021)</b>								
Changes in 2021	Changes in accounting policy								
	Other adjustments on Equity								
	Shares issued								
	Shares acquired								
	Profit/(loss) for the year								
	Other Comprehensive income for the year								
	Dividends paid								
	Dividends proposed								
	Revaluations gains/losses								
	Fair value gain/loss on reserves								
Transfer of excess depreciation									
Deffered income tax on transfer									
	<b>Position as at 31<sup>st</sup> December 2021 (1st January 2022)</b>								
Changes in 2022	Changes in accounting policy								
	Other adjustments on Equity								
	Shares issued								
	Shares acquired								
	Profit/(loss) for the year								
	Other Comprehensive income for the year								
	Dividends paid								
	Dividends proposed								
	Revaluations gains/losses								
	Fair value gain/loss on reserves								
Transfer of excess depreciation									
Deffered income tax on transfer									
	<b>Position as at 31<sup>st</sup> December 2022</b>								

\* includes Capital, Statutory and other reserves

\*\*includes any other component of Book Value eg employee stock option, shareholders deposits, unremitted dividends, debt/equity swaps, etc

**SECTION 3. NON-EQUITY LIABILITIES FROM NON-RESIDENTS**

**3.1: Provide total outstanding balances and transactions for long-term (Original maturity of more than 12 months) non-equity liabilities from non-residents in your enterprise, in ABSOLUTE Kenya Shilling, i.e KSh 2,578,215 and not KSh 2.6 million.**

No	Particulars	Debt instruments may be currency and deposits, loans, debt securities, trade credits or accounts payables					
		1	2	3	4	5	6
1	Type of Debt instrument <sup>1</sup>						
2	Creditor type (Related or Unrelated Company/Investor)						
3	If Related, Specify the Relationship (Parent, Branch, Subsidiary, Associate, Others (Specify))						
4	Creditor country; For International Financial Institution/Organization provide <b>NAME</b>						
5	<b>Outstanding balance as at 31<sup>st</sup> December 2019</b>						
6	<b>Changes in 2020</b>	Debt received from non-resident by the enterprise( <b>Disbursements</b> )					
7		Debt paid to non-resident by the enterprise ( <b>Principal repayments</b> )					
8		Interest payable					
9		Exchange Rate gains/loss					
10		Other changes (debt forgiveness, write-off, debt-equity swaps, etc)					
11	<b>Outstanding balance as at 31<sup>st</sup> December 2020</b>						
12	<b>Changes in 2021</b>	Debt received from non-resident by the enterprise( <b>Disbursements</b> )					
13		Debt paid to non-resident by the enterprise ( <b>Principal repayments</b> )					
14		Interest payable					
15		Exchange Rate gains/loss					
16		Other changes (debt forgiveness, write-off, debt-equity swaps, etc)					
17	<b>Outstanding balance as at 31<sup>st</sup> December 2021</b>						
18	<b>Changes in 2022</b>	Debt received from non-resident by the enterprise( <b>Disbursements</b> )					
19		Debt paid to non-resident by the enterprise ( <b>Principal repayments</b> )					
20		Interest payable					
21		Exchange Rate gains/loss					
22		Other changes (debt forgiveness, write-off, debt-equity swaps, etc)					
23	<b>Outstanding balance as at 31<sup>st</sup> December 2022</b>						

Note: In case you have more than six debt instruments in your enterprise, please provide data on separate page(s) or sheet(s).

<sup>1</sup> Consolidate if, the instrument is the same, relationship is the same and from same country

**3.2: Provide outstanding balances and transactions for non-equity external short-term liabilities (Original maturity of 12 months or less) of your enterprise, in ABSOLUTE Kenya Shilling, i.e KSh 2,578,215 and not KSh 2.6 million.**

No	Particulars	Debt instruments may be currency and deposits, loans, debt securities, trade credits or accounts payables					
		1	2	3	4	5	6
1	Type of Debt instrument <sup>1</sup>						
2	Creditor type (Related or Unrelated Company/Investor)						
3	If Related, Specify the Relationship (Parent, Branch, Subsidiary, Associate, Others (Specify))						
4	Creditor country; For International Financial Institution/Organization provide <b>NAME</b>						
4	<b>Outstanding balance as at 31<sup>st</sup> December 2019</b>						
5	<b>Changes in 2020</b>	Debt received from non-resident by the enterprise( <b>Disbursements</b> )					
6		Debt paid to non-resident by the enterprise ( <b>Principal repayments</b> )					
7		Interest payable					
8		Exchange Rate gains/loss					
9		Other changes (debt forgiveness, write-off, debt-equity swaps, etc)					
10	<b>Outstanding balance as at 31<sup>st</sup> December 2020</b>						
11	<b>Changes in 2021</b>	Debt received from non-resident by the enterprise( <b>Disbursements</b> )					
12		Debt paid to non-resident by the enterprise ( <b>Principal repayments</b> )					
13		Interest payable					
14		Exchange Rate gains/loss					
15		Other changes (debt forgiveness, write-off, debt-equity swaps, etc)					
16	<b>Outstanding balance as at 31<sup>st</sup> December 2021</b>						
11	<b>Changes in 2022</b>	Debt received from non-resident by the enterprise( <b>Disbursements</b> )					
12		Debt paid to non-resident by the enterprise ( <b>Principal repayments</b> )					
13		Interest payable					
14		Exchange Rate gains/loss					
15		Other changes (debt forgiveness, write-off, debt-equity swaps, etc)					
16	<b>Outstanding balance as at 31<sup>st</sup> December 2022</b>						

Note: In case you have more than four debt instruments in your enterprise, please provide data on separate page(s) or sheet(s).

<sup>1</sup> Consolidate if, the instrument is the same, relationship is the same and from same country

**3.3: Please provide total outstanding balances and transactions for Financial Derivatives by maturity attributable to Non-Residents in your enterprise in ABSOLUTE Kenya Shilling, i.e KSh 2,578,215 and not KSh 2.6 million.**

		Financial Derivatives <sup>1</sup>							
		1	2	3	4	5	6	7	8
	Type of derivative								
	Maturity [ST – short term or LT – long term]								
	Creditor country; For International Financial Institution/Organization provide NAME								
	<b>Outstanding balance as at 31<sup>st</sup> December 2019</b>								
<b>Changes in 2020</b>	Increases								
	Decreases								
	Other Changes								
	<b>Outstanding balance as at 31<sup>st</sup> December 2020</b>								
<b>Changes in 2021</b>	Increases								
	Decreases								
	Other Changes								
	<b>Outstanding balance as at 31<sup>st</sup> December 2021</b>								
<b>Changes in 2022</b>	Increases								
	Decreases								
	Other Changes								
	<b>Outstanding balance as at 31<sup>st</sup> December 2022</b>								

Note:

<sup>1</sup> Consolidate if, the instrument is of same maturity and from same country

**SECTION 4. INVESTMENT ABROAD BY THE RESIDENT ENTERPRISE (ASSETS)**

4.1: Please complete this PART with information on equity investment held abroad.

		1	2	3	4	5	6
1	Name of enterprise abroad						
2	Country of Investment						
3	Do you share a common Parent Company/Investor? (YES/NO)						
<b>Report all values in ABSOLUTE Kenya Shilling, i.e KSh 2,578,215 and not KSh 2.6 million.</b>							
4	<b>Position of Equity as at 31<sup>st</sup> December 2019</b>						
5	Percentage Shareholding						
6	Changes in 2020	Value of Equity acquired					
		Value of Equity disposed					
		Other changes in Equity					
9	Dividends received in 2020						
10	<b>Position of Equity as at 31<sup>st</sup> December 2020</b>						
11	Percentage Shareholding						
12	Changes in 2021	Value of Equity acquired					
		Value of Equity disposed					
		Other changes in Equity					
15	Dividends received in 2021						
16	<b>Position of Equity as at 31<sup>st</sup> December 2021</b>						
17	Percentage Shareholding						
18	Changes in 2022	Value of Equity acquired					
		Value of Equity disposed					
		Other changes in Equity					
21	Dividends received in 2022						
16	<b>Position of Equity as at 31<sup>st</sup> December 2022</b>						

4.2: Investment Fund Shares and other equity held in Non-Resident Enterprises

Please complete this PART with information on investment in Investment Fund Shares (Unit trusts and Money Market funds) and Other equity (Not listed) held abroad.

		Investment Fund Shares (Unit trusts and/or Money Market funds)			Other Equity (Not listed)		
		1	2	3	1	2	3
1	Name of enterprise abroad						
2	Country of Investment						
<b>Report all values in ABSOLUTE Kenya Shilling, i.e KSh 2,578,215 and not KSh 2.6 million.</b>							
3	<b>Position as at 31<sup>st</sup> December 2019</b>						
4	Changes in 2020	Purchases					
		Sales					
6	Dividends received in 2020						
7	<b>Position as at 31<sup>st</sup> December 2020</b>						
8	Changes in 2021	Purchases					
		Sales					
10	Dividends received in 2021						
11	<b>Position as at 31<sup>st</sup> December 2021</b>						
8	Changes in 2022	Purchases					
		Sales					
10	Dividends received in 2022						
11	<b>Position as at 31<sup>st</sup> December 2022</b>						

Note: In case you hold direct or portfolio equity or investment fund shares in more than three enterprises please provide data on a separate page or sheet to be provided by the interviewer.

**4.3: Non-Equity Assets in Non-Resident Enterprises**

Please provide information on long term (Original maturity of more than 12 months) and short term (Original maturity of 12 months or less) non-equity external assets held by your enterprise. Report all values in ABSOLUTE Kenya Shilling, i.e KSh 2,578,215 and not KSh 2.6 million.

No	Items	Debt instruments may be currency and deposits, loans, debt securities, trade advances or accounts receivables					
		1	2	3	4	5	6
1	Type of Debt instrument <sup>1</sup>						
2	Debtor type (Related or Unrelated Company/Investor)						
3	If Related, Specify the Relationship (Parent, Branch, Subsidiary, Associate, Others (Specify))						
4	Maturity [ST – short term or LT – long term]						
5	Debtor country of residence						
6	<b>Outstanding balance as at 31<sup>st</sup> December 2019 (2020 Opening Stock)</b>						
7	Changes in 2020	Debt issued to non-resident by your enterprise ( <b>Disbursements</b> )					
8		Debt paid by non-resident to your enterprise ( <b>Principal repayments</b> )					
9		Interest receivable					
10		Exchange Rate gains/loss					
11		Other changes (debt forgiveness, write-off, debt-equity swaps, etc)					
12	<b>Outstanding balance as at 31<sup>st</sup> December 2020 (2021 Opening Stock)</b>						
13	Changes in 2021	Debt issued to non-resident by your enterprise ( <b>Disbursements</b> )					
14		Debt paid by non-resident to your enterprise ( <b>Principal repayments</b> )					
15		Interest receivable					
16		Exchange Rate gains/loss					
17		Other changes (debt forgiveness, write-off, debt-equity swaps, etc)					
18	<b>Outstanding balance as at 31<sup>st</sup> December 2021 (2022 Opening Stock)</b>						
19	Changes in 2022	Debt issued to non-resident by your enterprise ( <b>Disbursements</b> )					
20		Debt paid by non-resident to your enterprise ( <b>Principal repayments</b> )					
21		Interest receivable					
22		Exchange Rate gains/loss					
23		Other changes (debt forgiveness, write-off, debt-equity swaps, etc)					
24	<b>Outstanding balance as at 31<sup>st</sup> December 2022 (2023 Opening Stock)</b>						

Note: In case your enterprise lends to non-residents more than six debt instruments, please provide data on a separate page or sheet.

<sup>1</sup> Consolidate if, the instrument is the same, same relationship, same maturity and from same country



## SECTION 5: INTERNATIONAL TRADE -IN -SERVICES

5.1: This section seeks information on the amount paid to non-residents for services received by the enterprise (Payments) and the amount received for services offered to non-residents (Receipts) for the years 2020, 2021 and 2022.

Type of service	Amount (ABSOLUTE KSh)					
	2020		2021		2022	
	Receipts	Payments	Receipts	Payments	Receipts	Payments
1. Manufacturing services on physical inputs owned by others (includes fees charged for processing, assembly, labeling and packing of goods)						
2. Maintenance and repair services not included elsewhere(n.i.e)						
3.Transport services						
i) Passenger services						
ii) Freight services						
iii) Postal and courier services						
iv) Other Transport services						
4.Travel services						
i) Accommodation						
ii) Food and beverages						
iii) Local transport services						
5. Construction services						
6. Insurance services						
i) Premiums						
ii) Claims						
iii) Fees and Commisions						
7. Pension Services:Contributions						
8. Financial services (Commissions, fees and other charges excluding interest)						
9. Charges for the use of intellectual property/royalties (such as patents, trademarks, copyrights, industrial processes and designs including trade secrets, franchises)						
10.Telecommunications Services (include mobile telecommunications services, Internet backbone services, and online access services, including provision of access to the Internet)						
11.Computer Services (includes web page development and design, web page hosting services,maintenance and repair of computers and their peripherals, sales and purchases of originals and ownership rights for software systems and applications, data recovery services, hardware and software installation)						
12. Information Services (include news agency services, such as the provision of news, photographs, and feature articles to the media, database conception, data storage, and the dissemination of data and databases and direct nonbulk subscriptions to newspapers and periodicals)						
13. Research and Development services						
14. Legal services						
15. Accounting,Management Consulting, Managerial Services and Public Relations Services						
16. Advertising, Market Research and Public Opinion Polling						
17. Technical, trade and other related services (include architectural, engineering, waste treatment, agriculture and mining)						
18. Operating leasing services (rental payments and/or receipts to/from non-residents for use of assets such as buildings without operators such as transport equipment, computer and telecommunication equipments)						
19.Personal, cultural and recreational services (such as health, education, sporting and recreation activities)						
<b>Total</b>						

Note:Any services rendered by enterprises in Kenya to Embassies, High Commissions and Military Bases of Foreign Governments ;and /or International Organizations such as UN Agencies, resident in Kenya should also be captured.

## SECTION 6: EMPLOYMENT

**6.1: State the number of employees in your enterprise and their compensation.**

**Number of employees as at 30<sup>th</sup> June 2020, 2021 and 2022**

Nature of Employment	Local				Foreign			
	Regular		Casual		Short term (12 months or less)		Long term (more than 12 months)	
	Male	Female	Male	Female	Male	Female	Male	Female
Year								
2020								
2021								
2022								

**6.2: (a) Compensation of employees during 2020 (in ABSOLUTE Kenya Shilling)**

		Type of Employee			
		Local		Foreign	
Type of Compensation		Regular	Casual	Short term (12 months or less)	Long term (more than 12 months)
1	Salaries and Wages				
2	NSSF/Pension				
3	Director's fee				
4	Others (specify) .....				
5	<b>Total Compensation</b>				

**6.2: (b) Compensation of employees during 2021 (in ABSOLUTE Kenya Shilling)**

		Type of Employee			
		Local		Foreign	
Type of Compensation		Regular	Casual	Short term (12 months or less)	Long term (more than 12 months)
1	Salaries and Wages				
2	NSSF/Pension				
3	Director's fee				
4	Others (specify) .....				
5	<b>Total Compensation</b>				

**6.2: (c) Compensation of employees during 2022 (in ABSOLUTE Kenya Shilling)**

		Type of Employee			
		Local		Foreign	
Type of Compensation		Regular	Casual	Short term (12 months or less)	Long term (more than 12 months)
1	Salaries and Wages				
2	NSSF/Pension				
3	Director's fee				
4	Others (specify) .....				
5	<b>Total Compensation</b>				

## SECTION 7: INVESTOR PERCEPTION

**7.1: How do you rate the change in the following business factors since 2020? (Tick appropriately).**

No.	Business factor	Improved	Neutral	Deteriorated
1	Transport and infrastructure (Roads, Ports and Railway) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Internet reliability.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	Reliability of electricity.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	Cost of electricity .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	Water and other utilities.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	Cost of credit .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	Access to finance.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8	Access to Land.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	Ease of business registration .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10	Tax Policy Measures.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**7.2: How do you rate the time taken to acquire licenses, get approvals and services when doing business? (Tick appropriately).**

No.	Service	Short	Moderate	Long
1	Registration with tax authority .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Single business permit .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	Power connection .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	Business credit .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	Business incorporation .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	Environment license .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	Immigration services .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8	Construction permit .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	Registration of property .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**7.3: How did your Enterprise respond to the effects of COVID-19? (Multiple select)**

No.	Factor	Tick
1	Invest in digital technology.....	<input type="checkbox"/>
2	Form joint venture partnership .....	<input type="checkbox"/>
3	Diversification of goods and services.....	<input type="checkbox"/>
4	Scale down operations .....	<input type="checkbox"/>
5	Relocate .....	<input type="checkbox"/>
6	Changed mode of operation.....	<input type="checkbox"/>
7	Other Specify .....	<input type="checkbox"/>

**7.4: How concerned are you about the impacts of climate change on your business?**

Not at all worried	Somewhat worried	Very worried	I am unfamiliar with climate change or the impacts it may have
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**7.5: Has your company developed or invested in any of the following measures to build resilience to the risks posed by climate change? (Multiple select)**

No.	Factor	Tick
1	Sustainable climate financing.....	<input type="checkbox"/>
2	New green technologies/products.....	<input type="checkbox"/>
3	Invested in energy efficiency.....	<input type="checkbox"/>
4	Renewable energy generation.....	<input type="checkbox"/>
5	Sustainable transport options.....	<input type="checkbox"/>
6	Waste minimization and recycling.....	<input type="checkbox"/>
7	Insurance against Climate risks.....	<input type="checkbox"/>
8	Increased actions for Ecosystem conservation .....	<input type="checkbox"/>
9	Planning to invest in climate change .....	<input type="checkbox"/>
10	No Investment plans on Climate Change .....	<input type="checkbox"/>
11	Other (Specify).....	<input type="checkbox"/>

**7.6: What proportion (per cent) of the total investment was primarily geared to Climate Change adaptation and mitigation measures in your enterprise in 2022?**

**7.7: What are the most significant barriers/challenges your Enterprise is facing regarding reducing greenhouse gas emissions eg carbon dioxide, methane & Nitrous Oxide ? (Multiple select)**

No.	Factor	Tick
1	Lack of knowledge of how to reduce carbon emissions.....	<input type="checkbox"/>
2	Capital Intensive.....	<input type="checkbox"/>
3	High operating costs.....	<input type="checkbox"/>
4	High customer demand or expectations.....	<input type="checkbox"/>
5	Inadequate business facilities.....	<input type="checkbox"/>
6	Employee capacity constraints.....	<input type="checkbox"/>
7	Other (Specify).....	<input type="checkbox"/>

**Comments**

**For Official use**

**Date Received:** .....

**Data Editing**

**Edited by:** ..... **Date:**.....

**Checked by:** ..... **Date:**.....

**Data Entry**

**Input by:** ..... **Date:**.....

**Verified by:** ..... **Date:**.....

***End of Questionnaire***

***After filling this Questionnaire, please make a copy for reference purposes***